

In accordance with the provisions of Title I, Gun Control Act of 1968, and the regulations issued thereunder (27 CFR Part 478), you are licensed to engage in the business specified in this license, within the limitations of Chapter 44, Title 18, United States Code, and the regulations issued thereunder, until the expiration date shown. **THIS LICENSE IS NOT TRANSFERABLE UNDER 27 CFR 478.51.** See "WARNINGS" and "NOTICES" on reverse.

Direct ATF  
Correspondence To  
ATF - Chief, FFLC  
244 Neeby Road  
Martinsburg, WV 25405-9431

License Number  
**9-86-013-01-4A-15835**

Expiration Date  
**January 1, 2024**

Name  
SUTPEN'S HUNDRED

Premises Address (Changes? Notify the FFLC at least 30 days before the move.)  
207 E CLARENDON AVENUE SUITE 427  
PHOENIX, AZ 85012

Type of License  
01-DEALER IN FIREARMS OTHER THAN DESTRUCTIVE DEVICES

Purchasing Certification Statement  
The licensee named above shall use a copy of this license to assist a transferee of firearms to verify the identity and the licensed status of the licensee as provided by 27 CFR Part 478. The signature on each document must be an original signature. A facsimile, scanned or e-mailed copy of the license with a signature intended to be an original signature is acceptable. The signature must be that of the Federal Firearms Licensee (FFL) or a responsible person of the FFL. I certify that this is a true copy of a license issued to the licensee named above to engage in the business specified above under "Type of License."

Mailing Address (Changes? Notify the FFLC of any changes.)  
SUTPEN'S HUNDRED, LLC  
SUTPEN'S HUNDRED  
207 E CLARENDON AVENUE SUITE 427  
PHOENIX, AZ 85012

Licensee Responsible Person Signature  
Stuart M. Swalen  
Printed Name  
Date  
3/2/21

ATF Form 4 (01/03/11)  
Revised October 2011

Federal Firearms License (FFL) Customer Service Information  
Federal Firearms Licensing Center (FFLC)  
244 Neeby Road  
Martinsburg, WV 25405-9431  
Toll-free Telephone Number: (866) 662-2750  
Toll-free Fax Number: (866) 257-2749  
E-mail: NLC@atf.gov  
ATF Homepage: www.atf.gov  
FFL eZ Check: www.atfonline.gov/fflcheck

Change of Address (27 CFR 478.52). Licensees may during the term of their current license remove their business or activity to a new location at which they intend regularly to carry on such business or activity by filing an Application for an Amended Federal Firearms License, ATF Form 5300.38, in duplicate, not less than 30 days prior to such removal with the Chief, Federal Firearms Licensing Center. The application must be executed under the penalties of perjury and penalties imposed by 18 U.S.C. 924. The application shall be accompanied by the licensee's original license. The license will be valid for the remainder of the term of the original license. **(The Chief, FFLC, shall, if the applicant is not qualified, refer the application for amended license to the Director of Industry Operations for denial in accordance with 1 478.71.)**

Right of Succession (27 CFR 478.56). (a) Certain persons other than the licensee may secure the right to carry on the same firearms or ammunition business at the same address shown on, and for the remainder of the term of, a current license. Such persons are: (1) The surviving spouse or child, or executor, administrator, or other legal representative of a deceased licensee; and (2) A receiver or trustee in bankruptcy, or an assignee for benefits of creditors. (b) In order to secure the right provided by this section, the person or persons continuing the business shall furnish the license for that business for endorsement of such succession to the Chief, FFLC, within 30 days from the date on which the successor begins to carry on the business.

See Part 478-51 (Continued on reverse side)

**For Files/Shipments ONLY**  
Federal Firearms License (FFL) Information Card  
License Name: SUTPEN'S HUNDRED, LLC  
Business Name: SUTPEN'S HUNDRED, LLC  
License Number: 9-86-013-01-4A-15835  
License Type: 01-DEALER IN FIREARMS OTHER THAN DESTRUCTIVE DEVICES  
Expiration: January 1, 2024

**No Face-To-Face Transfers**  
Sign-Up Today!  
The electronic FFL Newsletter will enable ATF to communicate information to licensees on a periodic basis.

**For Files/Shipments ONLY**  
FFL Newsletter - Electronic Version Available  
Sign-Up Today!  
The electronic FFL Newsletter - Electronic version of the FFL Newsletter, along with occasional additional information, should submit name, FFL number, and e-mail address to: FFLB@atf.gov.

**Customer Info:**

NAME: \_\_\_\_\_  
PHONE: \_\_\_\_\_  
EMAIL: \_\_\_\_\_

**Firearm Info:**

MFGR: \_\_\_\_\_  
MODEL: \_\_\_\_\_  
SERIAL No: \_\_\_\_\_  
CALIBER: \_\_\_\_\_  
TYPE: \_\_\_\_\_